



Intercept Group Pty Ltd

'Training Beyond Compare'

admin@intercepttraining.com

1300 302 444

www.intercepttraining.com.au

Level 1/86 Pacific Hwy, Tuggerah, NSW, 2259

STUDENT HANDBOOK



Student Handbook

Welcome to Intercept Group Pty Ltd

Thank you for choosing Intercept Group Pty Ltd as your training provider, and allowing us to play a role in your learning journey.

We pride ourselves on professional, flexible learning and providing you with the best experience possible to attain your learning goals.

We hope you are looking forward to your learning and making a lot of new friends along the way. We are here to help you make the most of your learning. Good luck.

I look forward to hearing of your achievements and providing support where I can. I trust you will enjoy your time with us and wish you every success in your learning.

General Manager
Intercept Group Pty Ltd



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SECTION 1 INTRODUCTION

The purpose of this handbook is to provide you with a quick reference about training programs, policies and processes, roles and responsibilities guiding you through your learning experience with Intercept Group Pty Ltd.

Intercept Group Pty Ltd

Thank you for considering training with Intercept Group Pty Ltd.

Intercept Group Pty Ltd is Registered Training Organisation (RTO) registered with the Vocational Education and Training (VET) Regulator.

Intercept Group Pty Ltd aims to deliver high quality, innovative and engaging training that is relevant to clients, employers and industry. Our commitment to continuous improvement means we are constantly developing and improving new resources, processes and facilitation methods to remain ahead in industry standards.

Intercept Group Pty Ltd has training locations in:

- Broadmeadow
- Tuggerah

Intercept Group Pty Ltd offers a range of training products and services which includes the following:

SITHFAB002 Provide Responsible Service of Alcohol
SITHGAM001 Provide Responsible Gambling Services
HLTAID003 Provide First Aid
RIISS00044 Traffic Controller (TC)
RIISS00041 Implement Traffic Control Plans (ITCP)
CPCCWHS1001 Prepare to work safely in the construction industry
BSB41419 Certificate IV in Work Health and Safety
CPP30316 Certificate III in Cleaning Operations
CPP40416 Certificate IV in Cleaning Management
CHC33015 Certificate III in Individual Support (Ageing, Home & Community)
BSB42015 Certificate IV in Leadership and Management
ACM20217 Certificate II in Horse Care
ACM30817 Certificate III in Performance Horse

As an RTO, Intercept Group Pty Ltd is bound to comply with the Standards for Registered Training Organisations 2015. Training Services provided to clients follow policies and processes developed to meet the VET Quality Framework and Standards for Registered Training Organisations 2015.



Service Commitment

Intercept Group Pty Ltd is committed to providing quality training and assessment services to its learners.

We aim to:

- Provide training and assessment services that meet industry needs and trends;
- Deliver high quality, innovative and engaging training;
- Maintain a person-centred approach;
- Foster relationships with our clients, supporting them through their career;
- Provide flexible learning opportunities;
- Provide a supportive, facilitative and open learning environment;
- Ensure all training is delivered by qualified trainer and assessors with the necessary skills and experience;
- Ensure all training is continually monitored and improved;
- Maintain a healthy and effective learning environment for clients;
- Produce competent and confident workers that benefit the community and industry.

Training Programs

Intercept Group Pty Ltd delivers a range of training programs, both accredited and non-accredited, which we conduct as public courses or customised for clients and industry. Our holistic approach ensures clients' needs are met. Accredited programs have been approved by State and /or Commonwealth Government.



SECTION 2 CLIENT RIGHTS AND RESPONSIBILITIES

Intercept Group Pty Ltd conducts training courses at various venues to: suit client needs, course type, and learning styles. The following client etiquette guidelines will help foster a healthy learning environment for all clients.

Assessment

All assessments must be submitted by the due date. If you are having difficulty completing an assessment, you should discuss it with your trainer/assessor well in advance of the due date. This way the trainer/assessor may be able to offer support or grant additional time. Please note there may be conditions or penalties to gaining an extension.

Assessment malpractice

Assessment malpractice includes: cheating, collusion and plagiarism.

Intercept Group Pty Ltd regards the integrity of assessment as critical to its professional responsibilities as an RTO and therefore strives to ensure the assessment processes are not compromised. Intercept Group Pty Ltd has policies and procedures in place for dealing with assessment malpractice.

- **Cheating** - All assessments must be 100% your own work. Cheating or the use of another person's work and submitting as your own is cheating and will not be tolerated.
- **Collusion** - Collusion is the presentation of work, which is the result in whole or in part of unauthorised collaboration with another person or persons. It is your responsibility to ensure that other clients do not have opportunity to copy your work.
- **Plagiarism** - Copying from a published work (including the internet), without referencing, will not be tolerated. This includes presentation of work which has been copied in whole or in part from another person's work or from any other source such as the Internet, published books, and periodicals. This includes systematic re-wording or changing key nouns and verbs.
You must follow referencing guidelines if you take another person's idea, and put it into your own words.

Attendance

Attendance in training is recorded each day. These records are required for both learning and health and safety reasons. Client attendance in class is paramount to successful completion of learning and assessment outcomes. Clients are expected to be in attendance for all training sessions.

It is expected that clients arrive to class on time and remain for the full duration. Should it be necessary for you to leave a class early – you must advise the trainer/assessor before the class commences.

All classroom sessions are designed to provide clients the essential knowledge and skills required for relevant units of competency. It is expected however that clients will undertake additional reading and research.

If you are absent from class, it is your responsibility to catch up on any work missed.

If you are going to be absent from a scheduled class or activity, please advise your trainer/assessor or Intercept Group Pty Ltd administration personnel.

Absences from particular courses may result in withdrawal from your course. Discuss required absences with your trainer/assessor or administration as soon as possible to discuss your options.

Punctuality

As a courtesy to other students and the trainer/assessor, all clients must be punctual throughout the training day, including returning from breaks. Punctuality shows respect and is essential to avoid disruption to other clients and the trainer/assessor.

Behaviour

Clients are expected to behave appropriately in a mature and professional manner at all times. All clients are expected to take responsibility for their own learning and behaviour during training and assessment. Misconduct will not be tolerated.

Misconduct includes -

- Any offensive conduct or unlawful activity (e.g. Theft, fraud, violence, assault);
- Interfering with another person's property;



- Removing, damaging or mistreating Intercept Group Pty Ltd property or equipment;
- Cheating/plagiarism;
- Interfering with another person's ability to learn through disruptions during training;
- Breach of confidentiality;
- Inappropriate language;
- Serious negligence, including WHS non-compliance;
- Discrimination, harassment, intimidation or victimisation;
- Being affected by drugs or alcohol and being unfit to participate in learning activities.

Respect for others

It is expected that the behaviour of all persons in the learning environment ensure a positive learning experience. Respect for other clients and the trainer/assessor is expected.

Intercept Group Pty Ltd retains the right at all times to remove disruptive clients from the training environment.

- You will be expected to treat staff and fellow clients with respect and observe any client etiquette requirements which appear in this handbook or requested during the course by a trainer/assessor.
- Inappropriate language and actions will not be tolerated.
- Harassment, bullying and intimidation of staff or fellow learners will not be tolerated.
- Treat facilities and equipment with due care and respect.
- You are required to respect the rights of others and treat others in a manner which is fair and non-discriminatory.

Breaks

Your trainer will advise of timings for all breaks. Typically, students will be given a morning tea, lunch and afternoon tea break at times determined by the Trainer on the day of the course.

Change of personal details

Clients are required to ensure their personal details recorded with Intercept Group Pty Ltd are up-to-date at all times. Should your circumstances or details change please advise administration staff.

Disciplinary Processes

Intercept Group Pty Ltd may implement client discipline processes should a client be found to be acting inappropriately, due to misconduct or assessment malpractice.

Any breaches of discipline will result in the person being given a 'verbal warning'.

Further disciplinary processes may include:

- The client being asked to justify why they should continue to participate in the learning group;
- Suspension from the training room;
- Expulsion from the training room; or
- Expulsion from the Training course.

Dress & Hygiene Requirements

Clients are to be well presented and appropriately dressed during all training. Dress requirements include:

- Neat, comfortable clothing in the classroom environment;
- Appropriate work attire, including personal protective clothing (PPE) for training in workplace or simulated environments;
- Appropriate footwear must be worn at all times;
- Since you will be working in close proximity with others, care with your personal hygiene (clothing, hair, deodorant etc.) is requested.

Duty of Care

Under Workplace Health and Safety legislation, clients have a duty of care to maintain a safe environment for both themselves and their fellow clients.

- Should you be involved in an accident which results in personal injury and/or damage to equipment or facilities, notify your trainer/assessor immediately.



- If you have a personal health condition which may become critical while attending training, please advise us before commencing the course. All information will be treated in strict confidence and is only needed so Intercept Group Pty Ltd can provide support or treatment should an emergency arise.
- Emergency procedures and exit plans must be followed.

You have a duty to:

- Protect your own health and safety and to avoid adversely affecting the health and safety of any other person;
- Not wilfully or recklessly interfere or misuse anything provided by Intercept Group Pty Ltd in the interests of health, safety and welfare;
- Cooperate with health and safety directives given by staff of Intercept Group Pty Ltd;
- Ensure that you are not affected by the consumption of drugs or alcohol.

Evaluation and Feedback

Intercept Group Pty Ltd values all feedback from clients as it assists us to continuously improve the products and services we offer. Clients are encouraged to provide us with feedback, both positive and constructive.

Intercept Group Pty Ltd has developed feedback forms which are distributed by trainers at completion of your training course for students to provide feedback on the services and training.

Thank you in advance for your comments.

Learner Support services

Intercept Group Pty Ltd understands that there may be times when personal issues may affect your ability to undertake your training. Intercept Group Pty Ltd has identified a number of support services for clients who have special needs, or require additional support and assistance to undertake or complete their learning.

Mentoring & Guidance

Intercept Group Pty Ltd can provide clients with mentoring, coaching and guidance on course content.

Job Active Providers / Disability Employment Services / Rehabilitation Services / Centrelink

These Services may be able to assist you in the payment for your training. Payments are in many instances in accordance to asset tests and may also be determined based on the number of hours undertaken. These may include:

- Youth allowance
- Austudy payment
- Newstart allowance
- ABSTUDY
- CentrePay
- Smart and Skilled (NSW Only)

You should discuss your own personal circumstances and opportunities with your local office.

Language, Literacy Numeracy

Discuss with us your options for further language literacy and numeracy development.

Reading Writing Hotline

<http://www.readingwritinghotline.edu.au/>

1300 655 506

Adult Migrant Education

tafensw.edu.au/student-services/adult-migrant-english-program-amep

Public Libraries

- Tuggerah Library
- Gosford Library
- Woy Woy Library
- Toukley Library
- Bateau Bay Library



- Newcastle Library
- Hamilton Library
- Wallsend Library
- Mayfield Library
- Adamstown Library

Learning Materials

Clients receive a copy of training and /or assessment materials as part of the course fee. Should you lose or misplace the materials you are provided, additional fees for replacement of materials may be incurred.

Making the Most of your Training

It is very important to make the most of your training opportunity. Please note it is your responsibility to do this. To optimise your own learning and successful completion, undertake to do the following:

- Attend all training sessions and complete all required reading and learning activities;
- Prepare well in advance of each training session;
- Be a willing participant;
- Work with fellow learners;
- Respect other people's opinions;
- Ensure you have a clear understanding of the assessment requirements;
- Take responsibility for the quality of evidence that you submit to the Assessor;
- Keep track of your progress;
- Complete and submit all assessment on time, tasks using clear and concise language;
- Be willing to ask your trainer/assessor if you do not understand the training activity or assessment task.

Mobile Phones

All phones must be turned off during training, as a courtesy to the Trainer/assessor and other clients. In an emergency where you need to be contacted, please advise your trainer/assessor so that arrangements can be made.

Security

Do not leave handbags or other valuables unattended. Although the building may be reasonably secure, you are ultimately responsible for your own belongings. Intercept Group Pty Ltd accepts no responsibility for any belongings which may be stolen or go missing.



SECTION 3 COURSE INFORMATION

Accredited Training Programs

Accredited programs are competency based which means that training and assessment focus on the development and recognition of a person's ability to apply relevant knowledge and skills to perform workplace tasks to a specified standard.

The specific skills and knowledge required for particular workplace activities are set out in Units of Competency which can be grouped together to formulate the completion of a nationally recognised qualification. Nationally recognised qualifications are outlined in Training Packages. These can be viewed at www.training.gov.au.

Each qualification has a list of employability skills which describe the non-technical skills and competencies that are important for effective and successful participation in the workforce. For each qualification there are specific employability skills listed under the following headings:

- Communication
- Teamwork
- Problem solving
- Initiative and enterprise
- Planning and organizing
- Self-management
- Learning
- Technology.

These employability skills will be part of the assessment requirements of a nationally accredited course.

Competency

It is important to note that the rules and requirements of a Unit of Competency and a qualification are applied to all clients regardless of where they are, or the mode of training delivery provided. You could be a full time client in a classroom or the workplace, or you could be applying for recognition of the skills and knowledge currently held.

Each Unit of Competency is made up of the following:

- Elements;
- Performance criteria;
- Required knowledge and skills;
- Range Statement;
- Critical aspects of evidence;
- Any pre or co requisites (if applicable).

To be deemed Competent in any Unit of Competency you must be able to provide evidence of the required skills and knowledge to complete work tasks to the standard that is required in the workplace. Skills need to be demonstrated in a range of situations and environments (which could include simulated applications in a learning environment) over a period of time.

Evidence

Evidence is the material proof that you have performed the specified competency or task to the required standard. Your evidence requirements will be determined by the Unit of Competency, employability skill requirements, industry expectations, Government regulations, and your qualifications and current experience. Evidence can take many forms and you will be required to present more than just one piece of evidence.

Assessment tools that we will provide to you set out the exact requirements for evidence for each unit/module.

Examples of evidence could include one or more of the following:

- Specific assessments tasks set by your Assessor
- Observation reports
- Certificates and awards
- Examples of work completed or special projects
- Current licenses
- Position descriptions and performance reviews
- Third party reports
- Question responses
- Tests



Your evidence must also demonstrate the following:

- That you can do the job or task to the required standard
- Understand why the job should be done in a particular way
- Handle unexpected issues or problems
- Work with others 'in a team'
- Do more than one thing at a time, e.g. perform the task and be aware of the occupational health and safety requirements
- Know the workplace rules and procedures

Assessment

Assessment is an integral part of your learning if you wish to complete successfully and gain certification.

The assessment process will be explained at the beginning and throughout your program/course. Assessors will also be available to you if you have any questions.

Assessment is the process of collecting evidence and making judgement on whether competency has been achieved to confirm that an individual can perform to the standards expected in the workplace and as expressed in relevant competency standards.

Throughout the training program you will be assessed to see if you have gained the necessary skills and knowledge to achieve the qualification. Your trainer/assessor is required to ensure that the assessment tasks you undertake meet the national principles of assessment and rules of evidence (see below for more information).

Various assessments tasks /activities may be involved including, but not limited to:

- Observation of performance;
- Assignments;
- Written activities;
- written / oral questioning;
- oral presentations;
- workplace performance
- projects
- case studies;
- role plays/ simulations;
- demonstration of skills;
- online assessments;
- portfolio of evidence.

Certification will only be given to clients who successfully complete all assessment requirements for a course.

Intercept Group Pty Ltd is required to meet stringent quality requirements in the conduct of all assessments.

The Intercept Group Pty Ltd has carefully constructed and developed assessment resources to meet these quality requirements, as well as be user friendly to clients. In some instances, Intercept Group Pty Ltd are required to implement mandated assessment tasks as per regulator agreements.

Principles of Assessment

Assessments will be conducted in accordance with the following principles of assessment.



Valid	<p>Any assessment decision of the RTO is justified, based on the evidence of performance of the individual learner.</p> <p>Validity requires:</p> <ul style="list-style-type: none"> • Assessment against the unit/s of competency and the associated assessment requirement covers the broad range of skills and knowledge that are essential to competent performance; • Assessment of knowledge and skills is integrated with their practical application; • Assessment to be based on evidence that demonstrates that a learner could demonstrate these skills and knowledge in other similar situations; and • Judgement of competence is based on evidence of learner performance that is aligned to the unit/s of competency and associated assessments requirements.
Reliable	Evidence presented for assessment is consistently interpreted and assessment results are comparable irrespective of the assessor conducting the assessment.
Flexible	<p>Assessment is flexible to the individual learner by:</p> <ul style="list-style-type: none"> • Reflecting the learner's needs; • Assessing competencies held by the Learner no matter how or where they have been acquired; and • Drawing from a range of assessment methods and using those that are appropriate to the context, the unit of competency and associated assessment requirements, and the individual.
Fair	<p>The individual learner's needs are considered in the assessment process.</p> <p>Where appropriate reasonable adjustments are applied by the RTO to take into account the individual learner's needs.</p> <p>The RTO informs the learner about the assessment process, and provides the learner with the opportunity to challenge the result of the assessment and be reassessed if necessary</p>

Rules of Evidence and Assessment

Intercept Group Pty Ltd is required to ensure that all evidence provided by clients, as proof of their competency, meets the following "rules of evidence".

Valid	The assessor is assured that the learner has the skills, knowledge and attributes as described in the module or unit of competency and associated assessment requirements.
Sufficient	The assessor is assured that the quality, quantity and relevance of the assessment evidence enables a judgement to be made of a learner's competency.
Authentic	The assessor is assured that the evidence presented for assessment is the learner's own work.
Current	The assessor is assured that the assessment evidence demonstrates current competency. This requires the assessment evidence to be from the present or the very recent past.

Course Assessment

There will be assessment tasks set for each course regardless of the learning mode. Assessment activities and expectations will be explained to clients, and are outlined within learner / assessment resources.

Some courses require assessment to be completed after the course, as workplace performance is essential in competency based learning.



Presentation of Assessments/ Assignments

- Handwritten assessments are accepted but must be clear and easy to read.
- If you are mailing an assignment, it must be received by the due date. Intercept Group Pty Ltd does not accept responsibility for any lost assignments. **Please ensure you keep a copy of your assignment prior to submission.**
- All assignments are lodged as they are received in the Student Management System (SMS) client notes.
- We endeavour to assess all assessments as soon as practical.
- Clients are entitled to one resubmit assessments. If the re-submissions are still deemed Not Yet Competent (NYC), clients may be offered the opportunity to re-submit at a fee. No further re-submits are allowed. Clients must re-enrol in the course again, paying the full course fee of the day.

Assessment results

Clients have access to their own learning account which will indicate assessments undertaken and the units of competency that the individual has attained.

Results of assessment are provided to clients as soon as is practical. These results are available through your client login account. Assessment results are confidential at all times and will not be given to any other party unless a written request signed by the client is received in advance.

Reasonable adjustments

Clients with disabilities are encouraged to discuss with Intercept Group Pty Ltd any 'reasonable adjustments' to learning and assessment processes which they consider would be necessary or assist them in the performance of their studies.

Careful consideration will be given to any requests for reasonable adjustment of this nature, and, where reasonably practicable, such adjustments will be made. There may however be circumstances where it will not be reasonable or reasonably practicable for the Intercept Group Pty Ltd to accommodate or where other adjustment may be more appropriate. Reasonable adjustments cannot compromise the integrity of competency based training and assessment.

Extensions for Assessment

It is expected that all assessment tasks are handed in on the due date. Should you require additional time to complete an assessment you must communicate with your assessor and apply for an extension. (Fees may apply)

Certificates

Types of Certification

In general, four types of certificates are issued by Intercept Group Pty Ltd. Certificates can only be awarded by Intercept Group Pty Ltd in accordance with our approved qualification scope.

- **Qualification** – issued under the Australian Qualification Framework (AQF) for nationally recognised training. Full qualifications can only be issued once the client has been deemed competent across all the relevant units of competency making up the qualification.
- **Record of Results** – accompanies a qualification issued under the Australian Qualification Framework (AQF) for nationally recognised training. This document supplements the qualification listing all units of competency achieved for the qualification.
- **Statement of Attainment (SOA)** – issued under the Australian Qualification Framework (AQF) for nationally recognised training. Issued when a client is deemed competent in a unit or a cluster of units of competency. Minimum achievement for a SOA is one unit of competency. You can request a SOA at any time during your training.
- **Certificate of Attendance** – for non-nationally recognised training. Issued when a client attends a short course which is not within the Australian qualifications framework (AQF). To receive a Certificate of Attendance, the client must have a satisfactory attendance rate.

Certificates will only be posted to clients at their nominated postal address as shown in their client login account. The onus is on the client to ensure their address details are correct. Intercept Group Pty Ltd are not responsible for lost or damaged certificates when posted.



Certificates will not be sent to other parties, without the expressed prior written permission from the client. Duplicate or replacement copies of certificates incur a fee.

Course Delivery

Intercept Group Pty Ltd ensures the following resources are in place:

- Trainer/assessors and Assessors with appropriate qualifications, and experience;
- Course materials appropriate to the methods of delivery and assessment requirements;
- All necessary copyright authorisations;
- Appropriate equipment and facilities.

Training and assessment methods used by Intercept Group Pty Ltd meet specific quality requirements and are chosen to best suit the unit of competency, while giving consideration to the learning style of the client. The provision of training often includes a blended approach with a combination of on and off-the-job methods.

A number of delivery methods will be used throughout the training to help you achieve the necessary skills. Learning is a partnership that involves participation from all involved.

Delivery methods may include, but are not limited to:

- Practical demonstrations
- audio/visual presentations
- group participation/ discussions
- trainer/facilitator instruction
- practical activities
- self-paced activities
- individual projects
- workplace based training
- case studies

Flexible Delivery

Flexible delivery focuses on learning rather than teaching and to provide the best possible learning experience for the client. This means that the client has greater control over what, when and how they learn.

Intercept Group Pty Ltd offers various forms of delivery to accommodate the varying needs of clients. Modes of delivery available for most courses include classroom (face-to-face) environment, workplace –based, correspondence, on-line, Recognition of Prior Learning (RPL) or a combination of these.

Language, Literacy and Numeracy

Each Training Package sets a minimum requirement in language, literacy and numeracy skills of participants, with which the Intercept Group Pty Ltd must abide.

Intercept Group Pty Ltd makes appropriate concessions for language, literacy and numeracy issues of clients where these concessions do not compromise the requirements of the relevant Training Package and the integrity, equity and fairness of assessment.

Where there are entry requirements for courses e.g. literacy in English and numeracy, these are clearly stated in pre-enrolment and enrolment information.

Advice is given to all clients on appropriate actions if there is a need to update literacy and numeracy skills. Intercept Group Pty Ltd can provide or refer client to services for additional development prior to completing your enrolment into vocational skills.

Recognition

Recognition is the collective term and includes:

- Recognition of prior learning (RPL);
- Recognition of current competency (RCC);
- Credit transfer (CT); and



- Mutual Recognition (MR).

All clients have the opportunity to apply for recognition. This means that you can submit evidence for a Unit(s) of Competency and have it assessed by a qualified Assessor without completing the training.

Intercept Group Pty Ltd believes that no learner should be required to undertake a unit of competency for which they are already able to demonstrate satisfactory achievement of the performance outcomes, as stated in the endorsed training package or nationally recognised course.

Intercept Group Pty Ltd aims to maximise the recognition of a learner's prior skills and knowledge whilst at all times maintaining the integrity and standards of the defined learning outcomes of the specific qualification or course of study. Clients who consider they already possess the competencies identified in all or part of any course/qualification offered by Intercept Group Pty Ltd may seek recognition.

If you think you have the necessary knowledge and skills to match a Unit(s) of Competency or a qualification at the required standard you need to contact our Administration Team on 1300 302 444 who will provide the information you need to complete an application.

Recognition Process

Recognition is a method of assessing if you have evidence of competency for a particular unit of competency that you are enrolled in. It is important to remember that Recognition is an **assessment process** not an assumption of competence.

Recognition is the determination, on an individual basis, of the competencies obtained by a client through:

- previous formal training
- work experience, and/or
- life experience.

Recognition therefore determines the subsequent advanced standing to which the client is entitled in relation to a course/qualification. The main focus of Recognition is what has been learned rather than how, where or when it was learned. Recognition focuses on both the demonstration of competence and the currency of that competence to industry standards.

It is important to note, the onus is on the client to document and present evidence to justify a claim for recognition and present their case to the satisfaction of the Assessor.

Any documents that you provide to support your claim of competency must be the originals rather than copies. You must provide original documents which will be photocopied and handed back to you or JP'd copies. It is also expected that any evidence submitted is your own and if any part of the work is the work of others, that this is formally acknowledged and advised.

Recognition Decision

Regardless of the type of evidence that you submit, Assessors must be confident that the evidence meets the following criteria:

- Full requirements of the Unit of Competency(s);
- Any Regulatory requirements;
- Authenticity - That it is your own evidence and can be authenticated;
- That you can perform the competency consistently and reliably;
- Is at the standard expected in industry and set out in the Australian Qualification Framework (AQF);
- Sufficiency - There is sufficient evidence to make a judgment.

Intercept Group Pty Ltd is committed to ensuring that all judgments made by Assessors against the same competency standards are consistent. Your Assessor will examine the evidence that you present and then make a judgment on that evidence which will be either:

- Competent (C) - you have been deemed competent against all the requirements of the Unit/s of Competency
- Not Yet Competent (NYC) - you have not yet demonstrated competency to all requirements.

Your assessor will advise you what you can do if you receive a NYC for your assessment task. If you are deemed NYC in your initial assessment, you are allowed a second attempt. However, if you are deemed NYC in the second attempt, you will be required to re-enrol. Please talk to your assessor if you have any concerns.

For further information on Recognition, please see Intercept Group Pty Ltd Recognition policy.



Mutual Recognition/Credit Transfer

Intercept Group Pty Ltd recognises the AQF qualifications and Statements of Attainment issued by other Registered Training Organisations (RTOs). This is commonly referred to as Mutual Recognition or Credit Transfer. Mutual recognition is applicable when a Statement of Attainment or qualification provided by a client has the same national competency codes as those that form part of the training and assessment program within which the client is enrolled or is intending to enrol. Clients are required to formally apply for Mutual Recognition. With Mutual Recognition client's are not required to undertake learning in the unit/s again, the client is exempt.

Special Needs

Clients intending to enrol for training with Intercept Group Pty Ltd are requested to advise us if they have any physical or other impairment which may adversely affect their ability to successfully undertake training and assessment, prior to enrolment.

Clients with disabilities or impairments are encouraged to discuss with staff any special needs and/or reasonable adjustments to the study environment which they consider are necessary or would assist them in the performance of their studies.

Intercept Group Pty Ltd staff, in collaboration with the client, will assess the potential for the client to successfully complete the training which may include flexible delivery options to optimise the ease and benefit of the client's learning.

Trainer and Assessors

All Trainers and Assessors are qualified in training and assessment and the vocational area which they are delivering. They have practical experience and maintain their currency in industry.



SECTION 4 POLICIES

Access and Equity

Intercept Group Pty Ltd is committed to promoting, encouraging and valuing equity and diversity with respect to its clients and providing them with a positive learning environment to achieve success. Intercept Group Pty Ltd will ensure services offered are provided in a fair and equitable manner to all clients, free from bias.

Intercept Group Pty Ltd abides by equal opportunity principles, providing access to the benefits of training and assessment to all clients regardless of gender, sex, pregnancy, race, marital status, sexuality, age, family/carer responsibilities, culture, linguistic background, disability, transgender, political conviction or religious belief.

All clients have equitable access to training resources and facilities, support services and information, trainer and assessors, learning and assessment materials and opportunities.

For further information, see Intercept Group Pty Ltd Access & Equity Policy.

Appeals

Intercept Group Pty Ltd ensures that clients have access to a fair and equitable process for appeals against assessment decisions. An appeals and reassessment process is an integral part of all training and assessment pathways leading to a nationally recognised qualification or Statement of Attainment under the Australian Qualifications Framework (AQF).

- Clients have the right to lodge an appeal against an assessment decision if they feel they were unfairly treated during an assessment, and/or where they feel the assessment decision is incorrect and they have grounds for an appeal.
- The principles of natural justice and procedural fairness are adopted at every stage of the appeal process.
- The appeals policy is publicly available
- The appellant provide detail of their appeal in writing.
- All appeals must be lodged within 7 calendar days of the date of the assessment result notification to the client.
- If the appeals process fails to resolve the appeal or the appellant is not satisfied with the outcome of the appeal, the matter will be referred, (at the request of the appellant) to an independent third party Arbitrator for review. Should the appeal progress to an external arbitrator, the appellant will be notified of and required to pay the arbitrator fee, however should the appeal be successful, the appellant will receive a full refund of this fee. Every appeal is heard by a suitably qualified independent assessor or panel, who will be asked to make an independent assessment of the application.
- All appeals are acknowledged in writing and finalised as soon as practicable.
- Intercept Group Pty Ltd may charge a fee for the appeals process where an external assessor is engaged. Should this be the case, all costs incurred will be advised to the appellant.
- If the appeal will take in excess of 60 calendar days to finalise Intercept Group Pty Ltd will inform the appellant in writing providing the reasons why more than 60 calendar days are required. The appellant will also be provided with regular updates on the progress of the appeal.
- Intercept Group Pty Ltd strives to deal with appeal issues as soon as they emerge, in order to avoid further disruption or the need for a formal complaint process.
- All appeals will be handled 'In-Confidence' and will not affect or bias the progress of the participant in any current or future training.

Grounds of appeal

Valid grounds for an appeal against an assessment decision (where the client feels the assessment decision is incorrect) could include the following:

- The judgement as to whether competency has been achieved and demonstrated was made incorrectly,
- The judgement was not made in accordance with the Assessment Plan.
- Alleged bias of the assessor;
- Alleged lack of competence of the assessor;
- Alleged wrong information from the assessor regarding the assessment process;



- Alleged inappropriate assessment process for the particular competency;
- Faulty or inappropriate equipment; and/or
- Inappropriate conditions.

Appeal Outcomes

Appeal outcomes may include:

- a) Appeal is upheld; in this event the following options will be available:
 - i. The original assessment will be re-assessed, potentially by another assessor.
 - ii. Appropriate recognition will be granted.
 - iii. A new assessment shall be conducted/arranged.
- b) Appeal is rejected/ not upheld; in accordance with Intercept Group Pty Ltd assessment policy the client will be required to:
 - i. undertake further training or experience prior to further assessment; or
 - ii. re-submit further evidence; or
 - iii. submit/undertake a new assessment

For further information, see Intercept Group Pty Ltd Appeals Policy.

Client Enrolment

To enrol in a training program simply do so via our website or contact the Administration Office on 1300 302 444.

Enrolments will be considered tentative until required payment has been received.

Tentative Enrolments

Should enrolment numbers reach maximum, and another person wishes to enrol in a course where there is a tentative enrolment, Intercept Group Pty Ltd will contact the tentative booking for payment confirmation or forfeiture of the tentative booking. Enrolment is not confirmed until payment is received.

Enrolment Confirmation

All clients receive email to confirm their enrolment. Email confirmation will outline relevant details, such as venue, date, and course duration.

Unique Student Identifier

A unique Student Identifier (USI) is a reference number that creates a record of your training and qualifications attained in Australia. If you are a new or continuing student undertaking nationally recognised training, you need a USI in order to receive your qualification or Statement of Attainment. Intercept Group Pty Ltd cannot issue a Certificate or Statement of Attainment without the student providing a verifiable Unique Student Identifier as per the Standards for Registered Training Organisation's 2015.

Client Selection

Intercept Group Pty Ltd conducts recruitment of clients at all times in an ethical, fair and responsible manner using various methods.

Intercept Group Pty Ltd is committed to ensuring that all client selection processes are fair, equitable and consistent with workplace performance, competency level and the Training Package requirements. Therefore, selection into a training program is based upon the applicant:

- satisfying appropriate funding body entry criteria,
- meeting any pre-requisite qualifications or work experience, and
- meeting any age requirements that may be in place for a particular course

Client enrolments are subject to availability of places on the training program. This is based on the maximum number of participants who can be accommodated, given room capacity, type of course, learning structures, client needs, regulatory requirements etc.

If a training program is fully booked at the time the client enquires about enrolment into that particular training program, they will either be placed on a "wait" list or offered a place on a date where there are vacancies. Clients on a "wait" list



are given priority should a place become available. Enrolments are strictly on a first-in, first-served basis. Clients must have the appropriate level of language, numeracy and literacy.

Intercept Group Pty Ltd shall ensure that any applicants who do not meet entry requirements are advised of any appropriate pre entry training they may take to meet eligibility criteria.

Client Records

Intercept Group Pty Ltd maintains an individual client file for every client who undertakes any form of training and assessment with us. This file contains records regarding your personal details provided to us, any training and assessment undertaken and completed. This file is available to you.

In accordance with Privacy laws and confidentiality requirements, your file is kept in secure storage. Only those Intercept Group Pty Ltd personnel who need to have access to your file for training and assessment purposes can access it.

No other person/client can and will have access to your personal client file without your prior written permission.

If you would like access to your personal records simply contact the Director.

Complaints

Intercept Group Pty Ltd has a fair and equitable process for dealing with client complaints.

All clients have the right to express a concern or problem they may be experiencing when undergoing training. The following is an outline of the Complaints Policy.

Principles

- Complaints are treated seriously and dealt with promptly, impartially, sensitively and confidentially.
- Complaints will be resolved on an individual case basis, as they arise.
- All clients have the right to express a concern or problem and/or lodge a complaint if they are dissatisfied with the training and assessment services that they have been provided (including through a third party) or the behavioural conduct of another learner.
- All complaints are acknowledged in writing and finalised as soon as practicable.
- The complaint resolution procedure is based on the understanding that no action will be taken without consulting the complainant and respondent, using a process of discussion, cooperation and conciliation.
- The rights of the complainant and respondent will be acknowledged and protected throughout the complaint resolution process, including the conduct of separate interviews initially.
- In the interest of confidentiality, the number of people involved in the resolution process will be kept to a minimum.
- Final decisions will be made by the Director Intercept Group Pty Ltd or an independent party to the complaint.
- The complaint resolution procedure emphasises mediation and education while acknowledging that in some instances formal procedures and disciplinary action may be required.
- If the complaints process fails to resolve the complaint or the complainant is not satisfied with the outcome of the complaint the matter will be referred to an independent third party for review, at the request of the complainant. All costs incurred for the third party review will be advised to the complainant.
- If the complaint will take in excess of 60 calendar days to finalise Intercept Group Pty Ltd will inform the complainant in writing providing the reasons why more than 60 calendar days are required. The complainant will also be provided with regular updates on the progress of the complaint.
- Victimisation of complainants, respondents or anyone one else involved in the complaint resolution process will not be tolerated.
- All complaints will be handled as Staff-In-Confidence and will not affect or bias the progress of the client in any current or future training.

Lodging a Complaint

Should you wish to lodge a complaint, a formal or informal approach should be made by the client to the trainer /assessor/Director.

The client completes a Complaints Form to commence the process.

For further information, see Intercept Group Pty Ltd Complaints Policy.



Course Fees

Intercept Group Pty Ltd has developed a fair and equitable process for determining course fees, refunds and payment options. Intercept requires deposits in order to secure a student's enrolment. This may be full or a partial payment but will not exceed \$1,500.

Flexible payment options

Intercept Group Pty Ltd accepts various methods of payment for course fees. Payment for courses can be made in the form of cash, Visa card, MasterCard, Direct Deposit, PayPal or Purchase Orders.

Course fees are payable in advance and enrolments are considered tentative until payment is received.

Qualification enrolments

Fees for qualification program may be paid via a payment arrangement in advance.

Cancellation & Transfers

Enrolment cancellation / withdrawal / deferral / amendment

Clients who wish to withdraw/cancel/defer/amend their course are required to complete a Course Withdrawal Amend form.

Transfer of Enrolment

- a) **Transfer to another "Course date"** – Clients are able to transfer to another course date, as per the Training Guarantee and subject to course availability.
- b) **Transfer to another "Course"** – Clients are able to transfer to another course as per the Training Guarantee and subject to course availability.
- c) **Transfer to another "Delivery mode"** – A client, enrolled in a course, can transfer to another "delivery mode" for the same course, subject to course availability. Fees may apply if student is wanting to participate in multiple delivery modes – see Schedule of fees
- d) **Transfer to another "Client"** – Transferring an enrolment to another client is at the discretion of Intercept Group Pty Ltd management.

Registered Training Organisation Cancellation of courses

Intercept Group Pty Ltd reserves the right to cancel a prior to course commencement. Clients already booked in these courses will be notified. If a course is cancelled, clients can choose to move their enrolment to a future course, alternatively a full refund of all monies paid by a client for the course will be made within seven (7) days. Intercept Group Pty Ltd has financial safeguards in place to ensure that all pre-paid fees are available for refund in the case of cancellation by Intercept Group Pty Ltd.

Equal Opportunity

Intercept Group Pty Ltd is committed to equal opportunity policies and principles, as they affect clients and employees to ensure the elimination of discrimination and harassment.

Rights and Responsibilities

Intercept Group Pty Ltd has a legal and moral obligation to provide equal opportunity in employment and a learning environment free from harassment for employees, contractors and clients.

Intercept Group Pty Ltd is committed to providing an environment which recognises and respects the diversity of employees, contractors and clients. Intercept Group Pty Ltd is committed to providing a work and study environment free from harassment, vilification and bullying and supports the rights of all employees, contractors and clients to work and study in a safe and healthy environment free from such behaviour.

Intercept Group Pty Ltd will:

- Ensure that employees, contractors and clients understand that these types of actions and behaviour will not be tolerated in the work/study environment.
- Request that any behaviour which could be considered harassment, vilification or bullying cease immediately.

All employees, contractors and clients have a role to play in eliminating harassment, vilification and bullying by not encouraging or showing support for harassment, vilification or bullying aimed at work or study colleagues. This can be achieved by:



- Refusing to join in with these types of actions and behaviours.
- Supporting the person in saying no to these behaviours.
- Acting as a witness if the person being harassed decides to lodge a complaint.

If an employee, contractor or client feels harassed, vilified or bullied, the employee, contractor or client is encouraged to inform the person where the behaviour is unwanted, unacceptable and/or offensive. If the employee, contractor or client feels unable to approach the person, or if the behaviour continues following their request that the behaviour cease, the Director should be contacted.

As a client of Intercept Group Pty Ltd, you have the responsibility to:

- Act to prevent harassment, discrimination and victimization against others;
- Respect differences among other staff, clients and contractors, such as cultural and social diversity;
- Treat people fairly, without discrimination, harassment or victimization;
- Refuse to join in with these behaviours;
- Supporting the person in saying no to these behaviours;
- Acting as a witness if the person being harassed decides to lodge a complaint.

Discrimination

Discrimination is treating someone unfairly or harassing them because they belong to a particular group. It is against the law to discriminate against a person because of their age, sex, pregnancy, disability (includes, past, present or possible future disability), race, colour, ethnic or ethno-religious background, descent or nationality, marital status, sexuality or gender identification.

Both direct and indirect discrimination are against the law:

- Direct discrimination - means treatment that is obviously unfair or unequal.
- Indirect discrimination - means having a requirement that is the same for everyone but has an effect or result that is unfair to particular groups.

Harassment, Vilification and Bullying

All employees, contractors and clients have an equal opportunity to work and study. Intercept Group Pty Ltd will not tolerate behaviour which is of a harassing, vilifying or bullying nature.

It is against the law for employees, contractors and clients to be harassed during the course of their work or study because of their sex, pregnancy, race (including colour, nationality, descent, ethnic or religious background), marital status, disability, sexuality, HIV/AIDS status or transgender. Federal anti-discrimination legislation applies to staff, contractors and clients.

Harassment

In general, harassment is behaviour which is unwanted and that humiliates, offends or intimidates a person, and occurs because of a person's:

- Race, colour, ethnic or ethno-religious background, descent or national identity.
- Sex.
- Pregnancy.
- Marital status.
- Disability (including physical, intellectual and/or behavioural/psychiatric disability; past, current or future disability; actual or presumed disability).
- Sexuality (male or female; actual or presumed).
- Transgender.
- Age.

It is unlawful for a person to be harassed due to a relationship to or association with a person of a particular race, sex, marital status, disability, homosexuality, transgender or age.

Harassment in the work and study environment can be overt or subtle, direct or indirect. It can be verbal, non-verbal or physical. Harassment can occur when power is used incorrectly.

Harassment is not always intended. Actions and behaviour which one person finds amusing or unimportant may offend or hurt another person.

Examples of harassment include:



- Intrusive or inappropriate questions or comments about a person's private life.
- Unwanted written, telephone or electronic messages.
- Promises or threats to a person.
- Physical violence or the threat of physical violence or coercion.

Vilification

Vilification is the public act of a person which incites hatred towards, serious contempt for, or severe ridicule of, a person or group of persons on the grounds of race, sexuality, transgender or HIV/AIDS status.

Examples of circumstances and behaviour that may constitute vilification on the basis of a person's race, sexuality, on transgender grounds, or disability (HIV/AIDS) etc. are graffiti, speeches or statements made in public, abuse that happens in public, statements or remarks in a newspaper, journal or other publication, on radio, television or other widely accessed electronic media such as internet, email etc. People wearing symbols, such as badges or clothing with slogans, in public, gestures made in public, posters or stickers in public space.

Bullying

Bullying behaviour can refer to the actions or behaviours of a person to another that intimidates, degrades or humiliates the person. It may include verbal abuse, behaviour intended to punish such as isolation, exclusion from workplace activities and "ganging up". Repeated "put-downs", aggression, threats and poorly managed conflicts of opinion may be part of bullying behaviour. It can occur between people such as managers and employees or contractors, co-workers and clients.

Sexual harassment

Intercept Group Pty Ltd will not tolerate sexual harassment in the learning or work environment.

Intercept Group Pty Ltd deplores all form of sexual harassment and seeks to ensure that the work and study environment is free from such harassment. Implementation of this policy is the responsibility of all persons.

Sexual harassment is unlawful. The harasser may be held liable for unlawful actions and be required to pay damages. All employees, contractors and clients have the right to work and study in an environment free from sexual harassment.

Forms of sexual harassment

Sexual harassment may take many forms. Often people do not realise that their behaviour constitutes sexual harassment, but they must be aware that behaviour that is acceptable to one person may not necessarily be acceptable to another. Sexual harassment is any unwanted behaviour of a sexual nature by one person to another at work or in a work-related setting. Examples of sexual harassment include, but are not limited to:

- Insensitive jokes and pranks.
- Lewd comments about appearance.
- Unnecessary body contact.
- Displays of sexually offensive materials, for example, calendars or posters.
- Requests for sexual favours.
- Speculation about a person's private life and sexual activities.
- Threatened or actual sexual violence.
- Threat of dismissal, loss of opportunity and so on, for refusal of sexual favours.

Complaints

All complaints regarding equal opportunity matters are treated seriously and investigated promptly, confidentially and impartially.

Privacy

Intercept Group Pty Ltd abides by the Privacy Act and respects clients, staff and trainer/assessors' right to privacy.

As a Registered Training Organisation, Intercept Group Pty Ltd is obliged to maintain effective administrative and records management systems. This involves the collection and retention of personal information from clients in secure client records. All staff must be scrupulous in using client information only for the purposes for which it was gathered. All clients have access to their own records at all times.



Intercept Group Pty Ltd collects information from clients upon initial enquiry in order to send course information, and is collected at enrolment and during the provision of the training and assessment services. The Intercept Group Pty Ltd may use personal information to advise clients of upcoming events and training course, for marketing and research purposes. In addition feedback on services provided through surveys is collected. This feedback assists us improve the quality of the services and training and is treated confidentially.

Intercept Group Pty Ltd will only disclose information to other parties, as required by law, or as otherwise allowed under the Privacy Act 1988. Intercept Group Pty Ltd will not disclose information to other parties without written consent from the client.

For further information, see Intercept Group Pty Ltd Privacy Policy.

Refund Policy

Payment of all refunds, to eligible clients, is in accordance with the following refund policy.

Intercept Group Pty Ltd will strive to maintain its highly competitive fee structure, as well as its fair and equitable refund policy.

- a) Payment of all refunds is made within one week (seven days) of application approval for refund.
- b) With regard to all withdrawals, Intercept Group Pty Ltd will firstly encourage a client to enrol on another course date, prior to processing refund applications.
- c) Written notification of withdrawal from a training program must be provided by a client to apply for a refund for a course. This may be via letter, email or the completion of the refund form.
- d) There is no refund applicable where a client has commenced their course/unit.
- e) Once approval for refund is granted, the Training Guarantee ceases
- f) There is no refund to participants who do not obtain their qualification after assessment.
- g) There is no refund for recognition of prior learning assessments after enrolment, where Recognition resources and services have been supplied to the client.
- h) Intercept Group Pty Ltd does not accept liability for loss or damage suffered in the event of withdrawal from a course by a client.
- i) Intercept Group Pty Ltd provides a full refund to all clients, should there be a need for Intercept Group Pty Ltd to cancel a course. In the first instance Intercept Group Pty Ltd will (where possible) provide an opportunity for the client to attend another scheduled course.
- j) Refunds for enrolments in individual classroom based courses will be calculated in accordance with the following:
 - i. A fee equal to 25 % of the full fee is charged where cancellations occur within seven (7) days before commencement of an enrolled course or assessment.
 - ii. Fees are refunded in full where the client notifies Intercept Group Pty Ltd eight (8) days or more prior to commencement of an enrolled course or assessment.
 - iii. Nil refund is available where cancellation occurs within 72 hours of course commencement
 - iv. Nil refund is available where no notification is provided or where the client is withdrawn from the course by Intercept Group Pty Ltd due to inappropriate behaviour
- k) Refunds for enrolments on nationally recognised qualifications (workplace based/traineeships) and accredited courses are subject to the following:
 - i. Where course fees are subsidised by a “Funding Body” (for example an Australian Apprenticeship or other State/Federal/Territory funded program) these may include a non-negotiable “Student Course Fee”. Intercept Group Pty Ltd will ensure these fees are applied and communicated to clients at the time of enrolment, in accordance with the relevant Funding Body.

Fee Type	Description	Fee \$\$
Enrolment cancellation fee	Registered Training Organisation administrative processes for processing of enrolment, reporting and other	Nil Refund



Fee Type	Description	Fee \$\$
	administrative actions related to cancellation	

Commencement dates

- ** Please note commencement for e-learning/distance courses is the date that the training materials were posted to the client or the Unit of Competency released online.
- Commencement for online clients is the date that online access is provided to an individual client for a particular course.
- Commencement date for a classroom based learning mode is the first day of the course.

Workplace Health and Safety (WHS)

Intercept Group Pty Ltd is committed to providing a safe and healthy learning and work environment. The safety of our clients and staff is of primary importance in all activities and operations of our organisation. We are committed to implementing, maintaining and continuously improving work health and safety in all of our facilities and operations.

Intercept Group Pty Ltd encourages all persons to regard accident prevention and safety as a collective and individual responsibility.

Intercept Group Pty Ltd recognises its responsibility under the Workplace Health and Safety and related regulations. The Director has responsibility for ensuring the health and safety of staff, clients, contractors and visitors. This includes:

- Provide and maintain safe plant, equipment and systems of work.
- Provide, monitor and maintain systems for safe use, handling, storage and transportation of plant, equipment and substances.
- Maintain the workplace in a safe and healthy condition.
- Provide adequate facilities to protect the welfare of all employees.
- Provide information, training and supervision for all staff and contractors, helping them to integrate WHS into their work areas and roles.
- Provide information, where relevant, to clients, allowing them to learn in a safe manner.
- Check WHS system compliance via ongoing auditing.
- Integrate continuous improvement into WHS performance.

Duty of Care

Intercept Group Pty Ltd is committed to taking practicable steps to provide and maintain a safe and healthy work and learning environment for all staff, clients, and contractors. Specific responsibilities are shown below.

Intercept Group Pty Ltd Management:

- Are responsible for the effective implementation and regular review of the WHS policy.
- Must observe, implement and fulfil responsibilities under legislation that applies to WHS and endeavour to comply with relevant standards and codes of practice.
- Must ensure that the agreed procedures for regular consultation between management and staff are followed.
- Monitors the WHS management policies and procedures. Outcomes of WHS monitoring are used to help maintain appropriate risk controls. The effectiveness of these risk controls and this monitoring and review process is linked to Intercept Group Pty Ltd Continuous Improvement processes
- Are responsible for ensuring that a WHS management system is implemented.

Staff, contractors, clients and visitors:

- Have a duty of care to themselves and others.
- Have a responsibility to cooperate with all WHS processes.
- Have a responsibility to comply with relevant Intercept Group Pty Ltd WHS management system policies and procedures.
- Must not bypass or misuse systems or equipment provided for WHS purposes.
- Must report any unsafe conditions which come to their attention to the Director.



Work Placement

Students that are required to attend Work Placement as part of their Course/qualification are covered under the Voluntarily Workers Insurance held by Intercept Group Pty Ltd.

Incidents and Hazards

All incidents and hazards are required to be reported immediately. See your trainer/assessor or administration personnel to report any issues.

Intercept Group Pty Ltd will ensure that any injured person receives appropriate first aid and/or medical treatment as soon as possible and will conduct an investigation to reasonably prevent a recurrence.

Intercept Group Pty Ltd is also committed to ensuring that injury management activities commence as soon as possible after injury and that every effort is made to provide suitable and meaningful duties consistent with the nature of the injury or illness, after seeking appropriate medical judgement.

Clients and employees are expected to take care to prevent work-related injuries to themselves and to others.

Investigating incidents and Hazards

The Office Manager and/or Director is responsible for investigating incidents and accidents.

Following the report of an incident or hazard (and after first aid and other injury management processes have been implemented), the Office Manager and/or Director will immediately undertake an investigation.

The process for investigations may include.

- Interview all people involved in the incident and witnesses.
- Use the risk management approach to help understand the underlying hazards that caused the incident and whether controls failed, were insufficient or were absent.
- Listen to recommendations of people involved in the incident about what is required to prevent such incidents in the future.
- Analyse results of investigation and document recommended courses of action for evaluation by the Director.
- Once action is approved, communicates outcomes and planned actions.